

RISK CHARACTERIZATION AND DAM SAFETY MODIFICATIONS TO ADDRESS ACTIVE FAULT RUPTURE BENEATH AN EMBANKMENT DAM

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Association of State Dam Safety Officials
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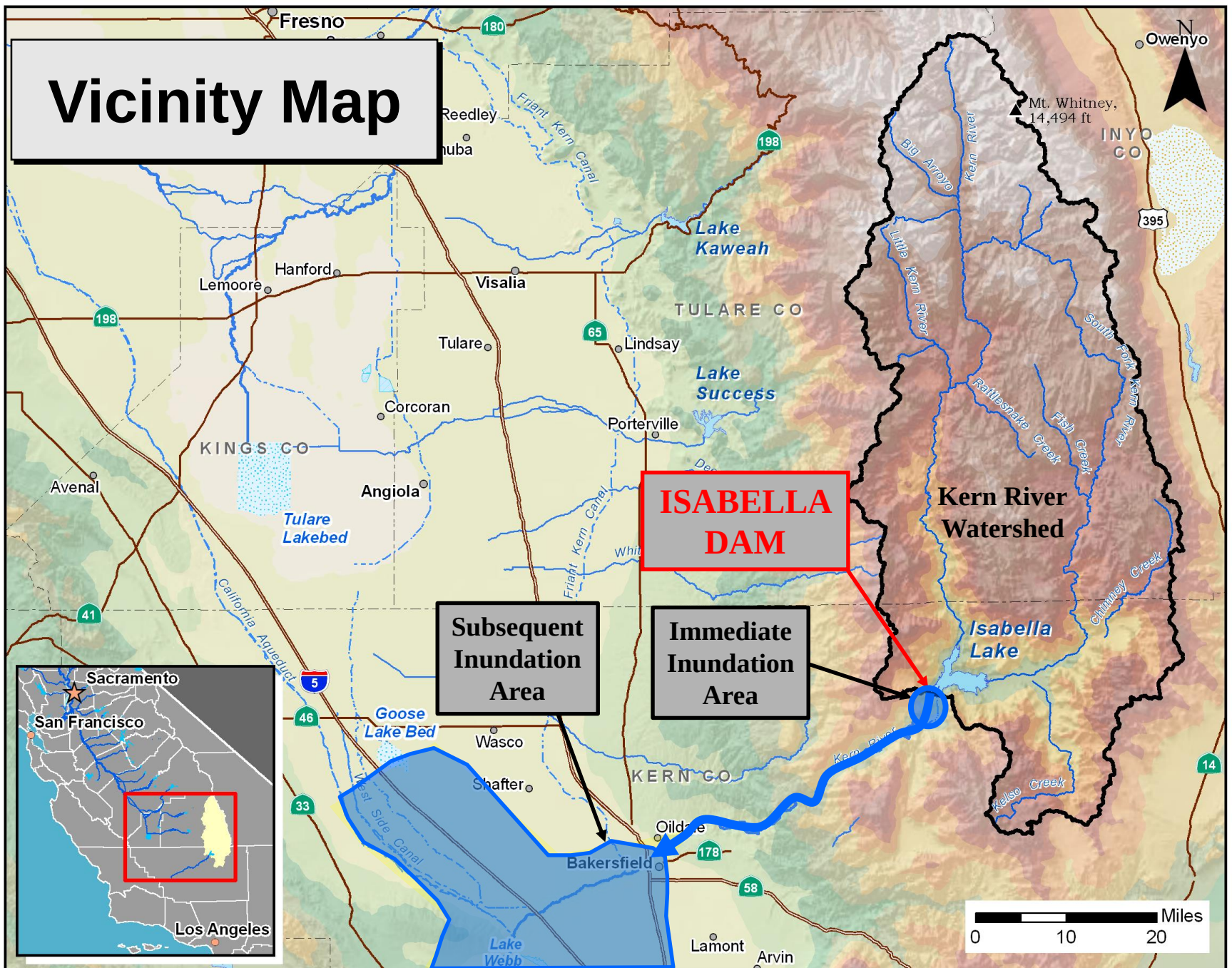


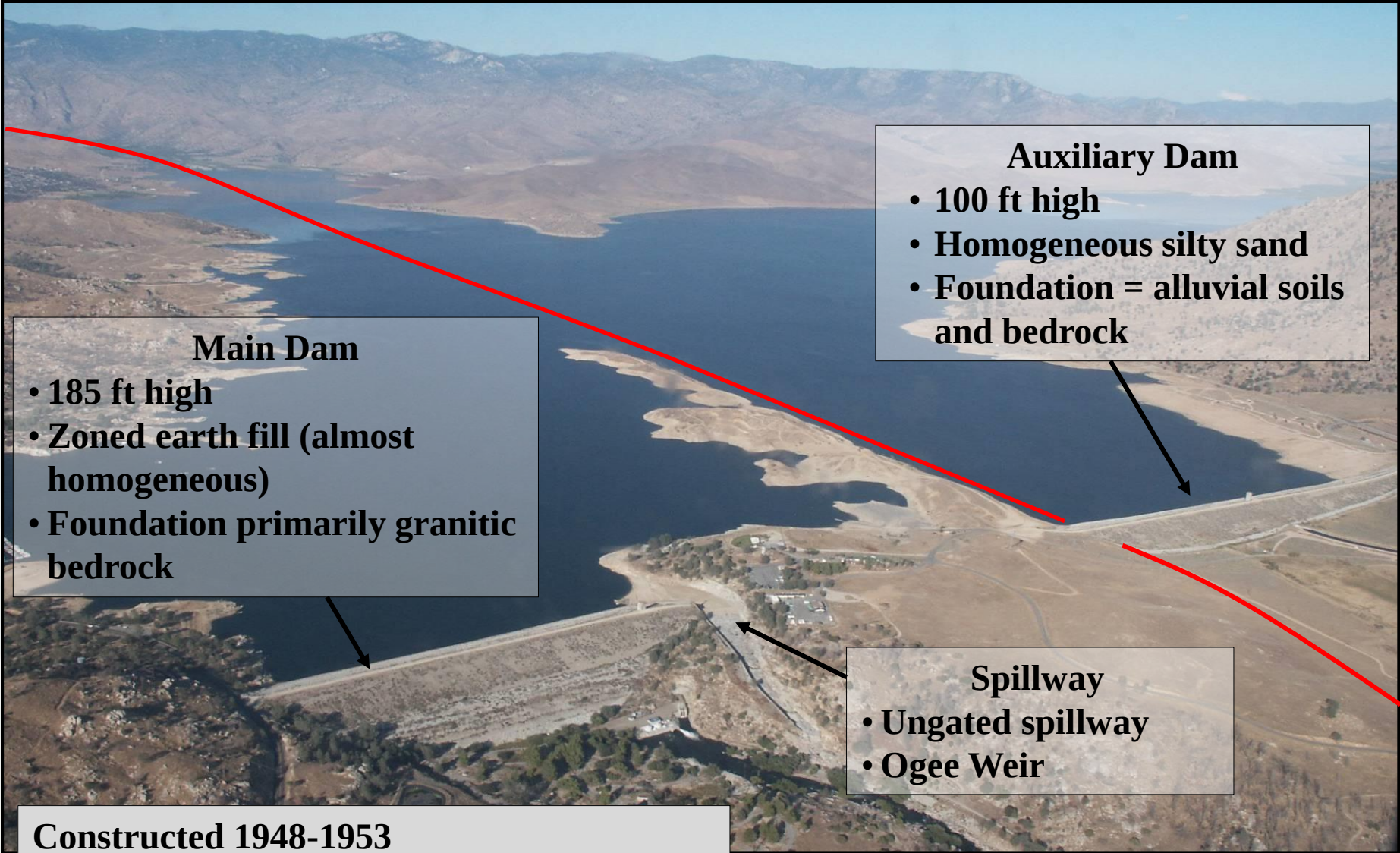
Outline

- Overview: Isabella Dam Modification Project
- Overview: Seismic Source Characterization (fault rupture)
 - ▶ Site-specific paleoseismic data
 - ▶ Scenario-based fault displacements using empirical relationships
- Overview: Design of the (size and location) of filter and drain zones for the downstream buttress modification of the Auxiliary Dam



Vicinity Map





Main Dam

- 185 ft high
- Zoned earth fill (almost homogeneous)
- Foundation primarily granitic bedrock

Auxiliary Dam

- 100 ft high
- Homogeneous silty sand
- Foundation = alluvial soils and bedrock

Spillway

- Ungated spillway
- Ogee Weir

Constructed 1948-1953

**Primary Purposes: Flood control (~ 74%);
Irrigation (~21%);**

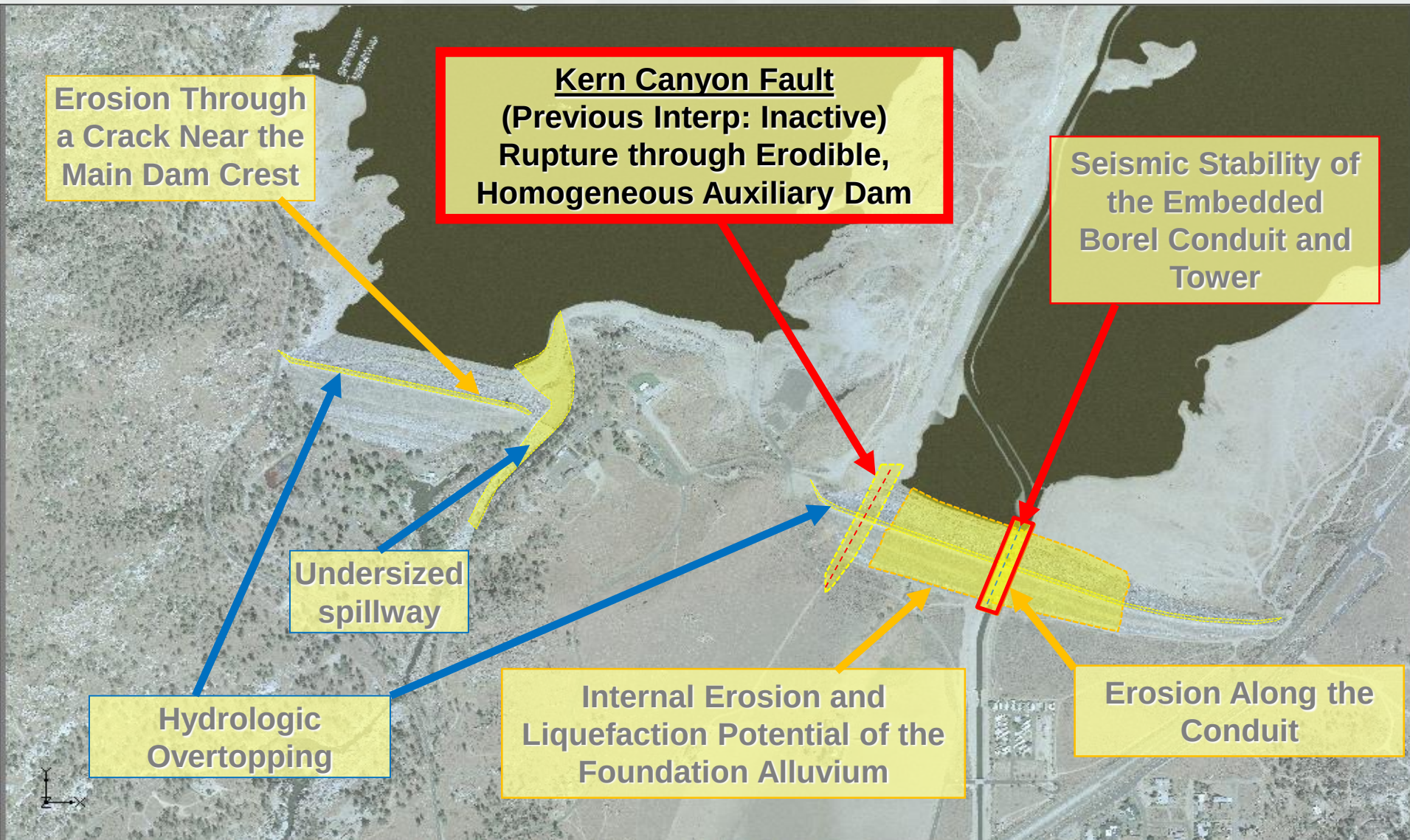
Non-Federal Hydropower (~5%)

Reservoir Capacity = 568,100 ac ft



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Primary Issues (Potential Failure Modes) Isabella Dam Modification Study



Kern Canyon Fault Characterization



Data Needs (Fault Rupture PFM)

- Locations of Active Fault Strands
- Zone of Surface Deformation
- Amount of Surface Deformation
- Sense of Coseismic Slip
- Frequency of Coseismic Deformation



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Kern Canyon Fault Characterization

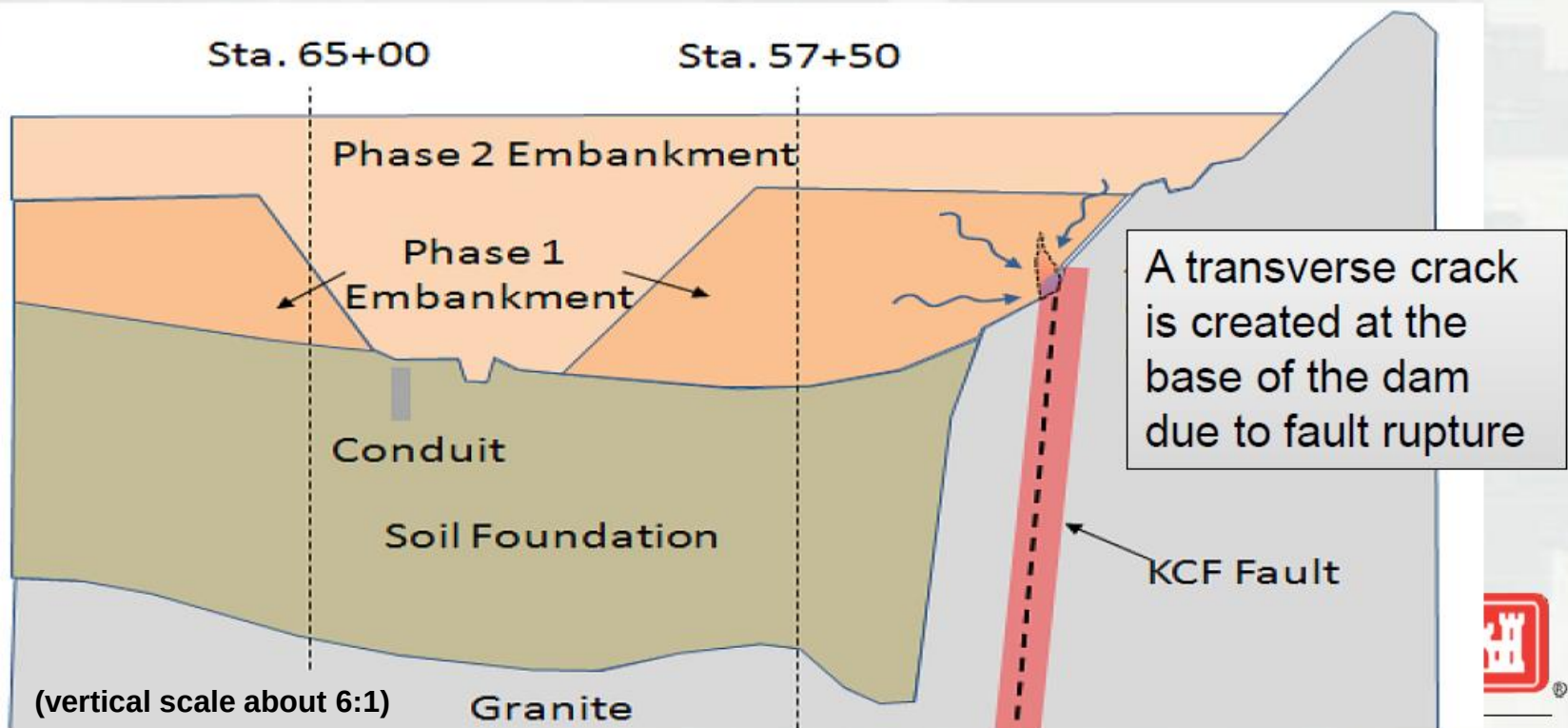
- **Starting from scratch:** KCF previously uncharacterized (inactive)
- Comprehensive program of fault evaluation (2005 to 2010)
 - ▶ Geologic, geomorphic, seismologic, paleoseismologic, age-dating analyses
 - ▶ Integrated with geotechnical and geophysical data
- **Active Fault**
- 140 km long;
four sections
- Multiple Holocene
surface ruptures
- Return period ca. 3ka
- Maximum magnitude:
 $M_w 7.5$



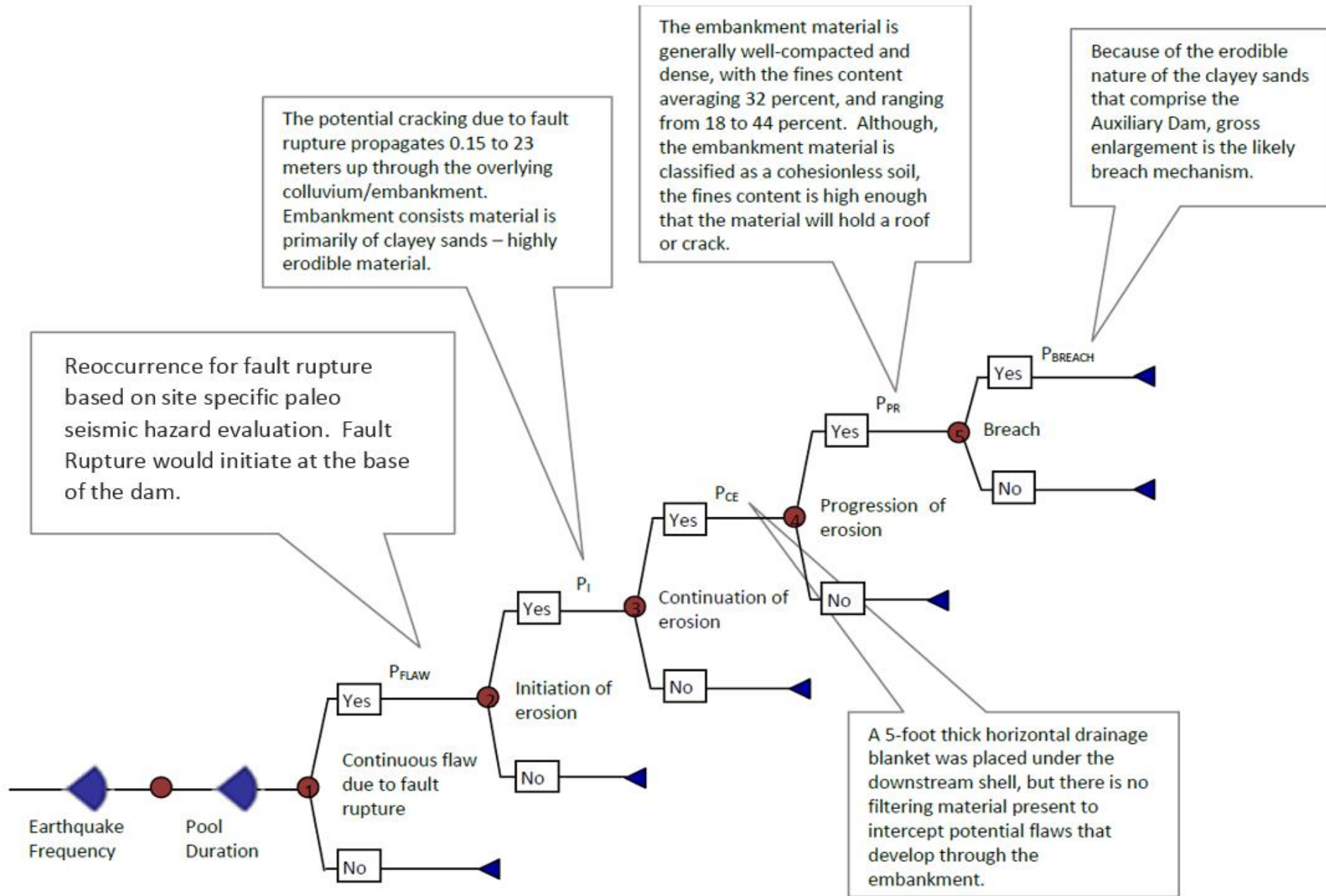
Potential Failure Mode: Fault Rupture Beneath Right Abutment

Kern Canyon Fault Rupture Summary:

- Normal (east-down) displacement
- **Design fault rupture displacement = 6.8 ft**
- Mean maximum displacement of 9.8 ft (unsegmented rupture scenario)



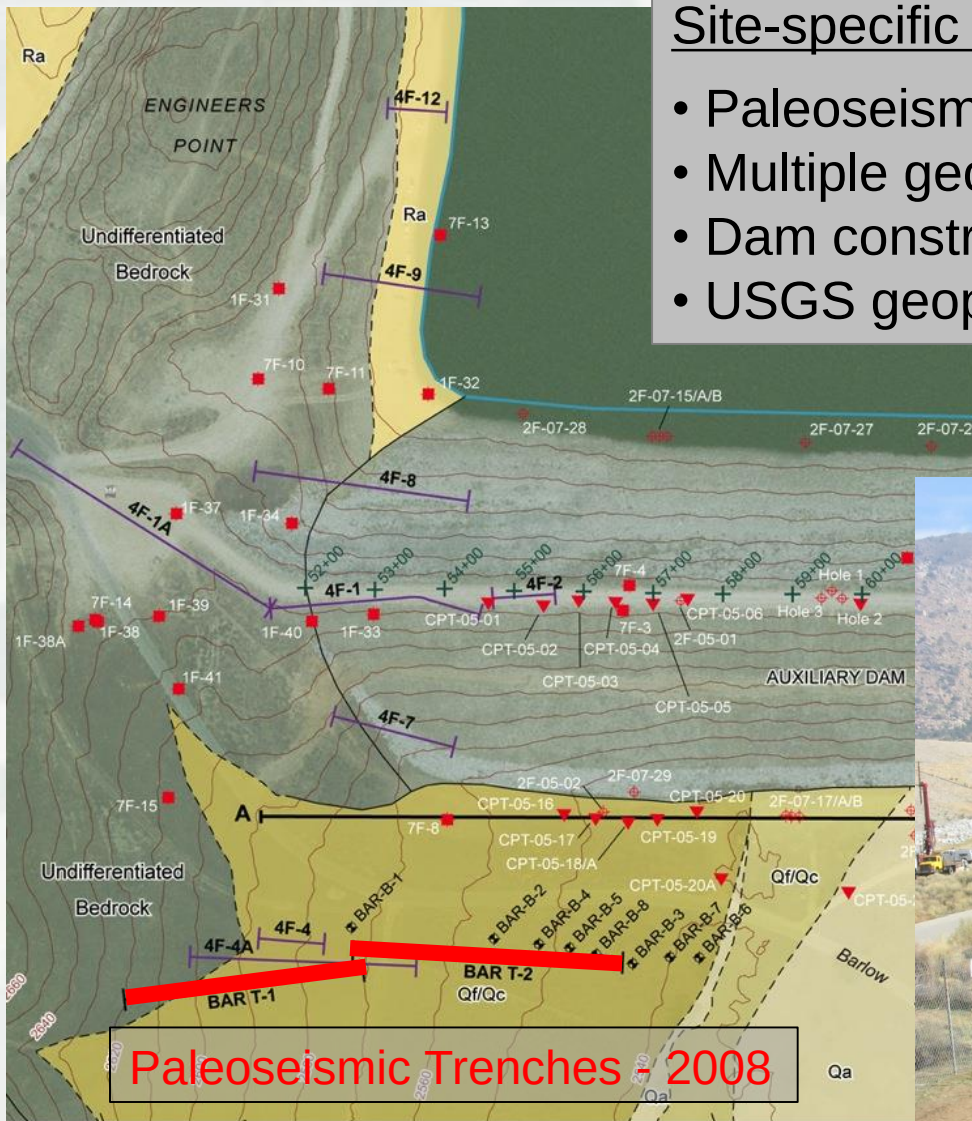
PFM #47 – Transverse Cracking Due to Fault Rupture



Kern Canyon Fault at Right Abutment

Site-specific Fault Data

- Paleoseismic trenches (16-ft-deep, 350-ft-long)
- Multiple geotechnical boreholes
- Dam construction trenches /exploratory shaft
- USGS geophysical data

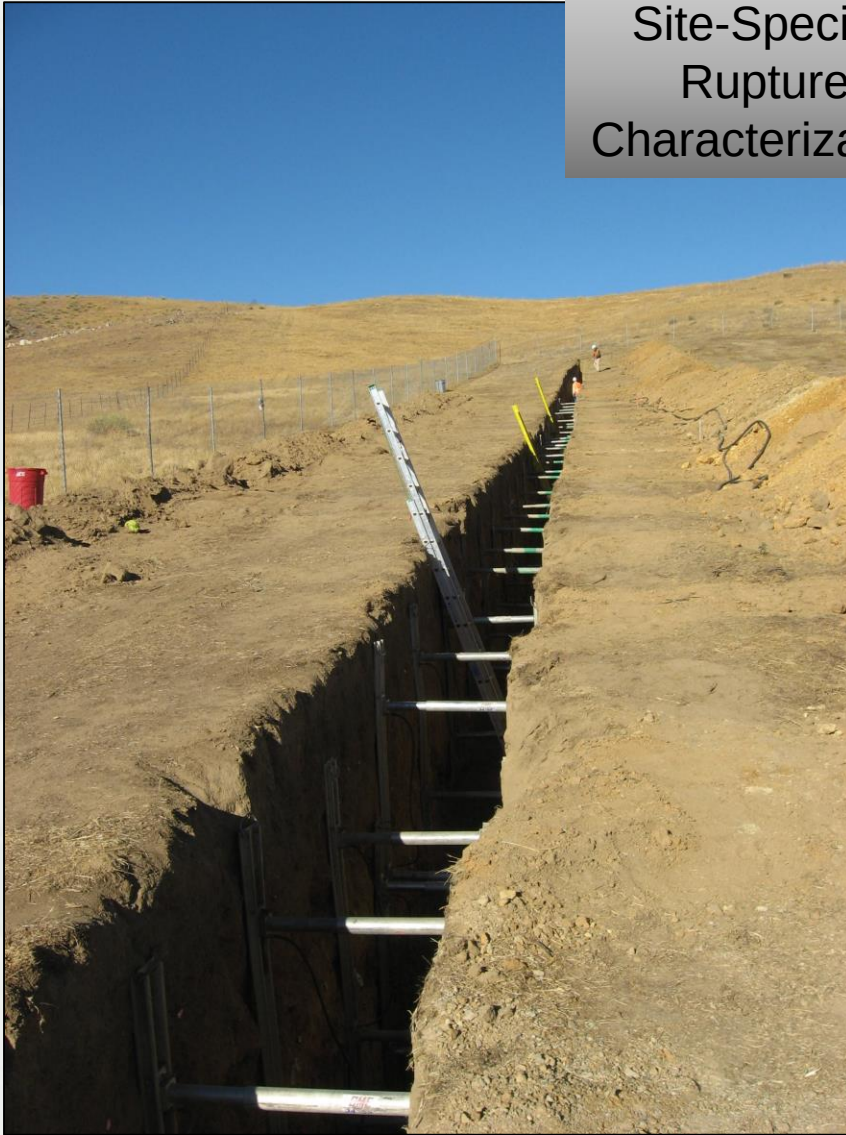


Dam Site Field Investigations

Site-Specific Rupture Characterization

Objectives:

- Define Rupture Characteristics
 - Location, Width, Sense of Slip
 - Amount of Coseismic
- Develop Recurrence Information



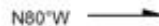
EAST

Paleoseismic Trench Documentation

WEST

Trench T1

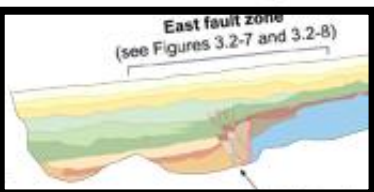
N80°W



APPROXIMATE ELEVATION (feet, NAVD 88)

2620
2600
2580
2560
2540

From site-specific paleoseismic analysis:
Average coseismic displacement:
 3.6 ± 1.4 ft

West fault zone
(see Figures 3.2-5 and 3.2-9)

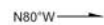
Metasedimentary bedrock

Metasedimentary bedrock
encountered 2.5 ft. bgs in
borehole B on west side of fault

HORIZONTAL STATIONING (feet)

340 320 300 280 260 240 220 200 180 160 140 120 100 80 60 40 20 0

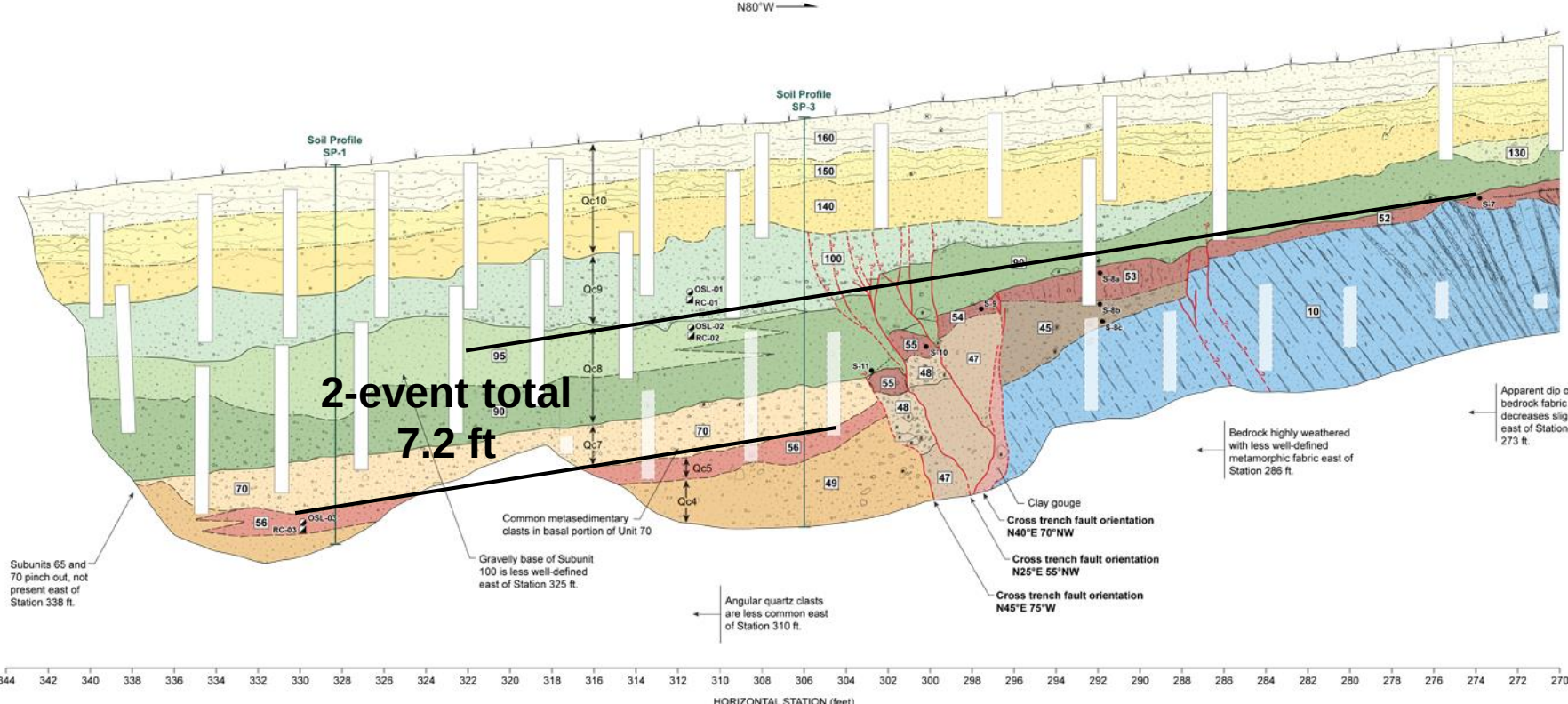
N80°W



APPROXIMATE ELEVATION (feet, NAVD 88)

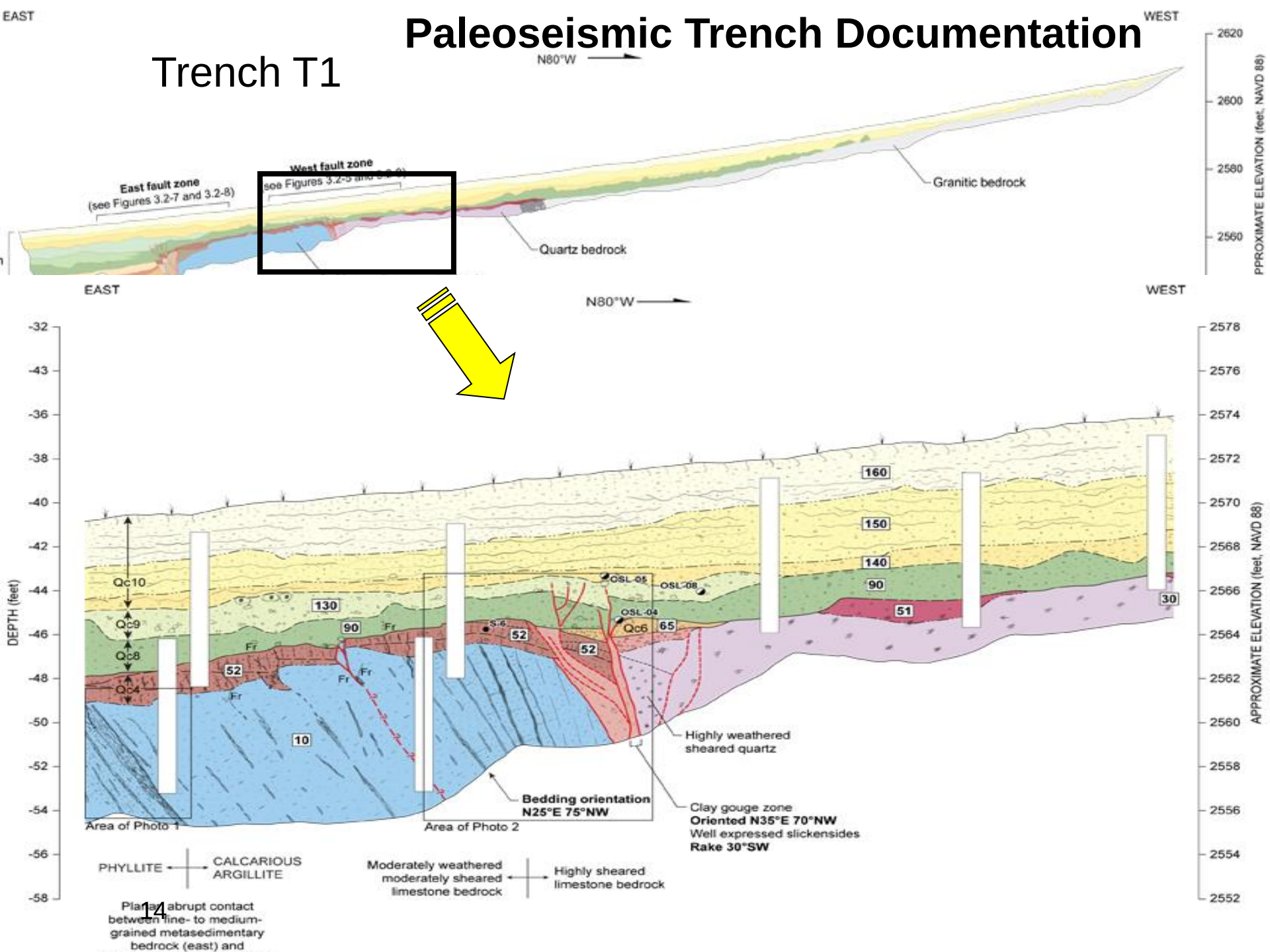
2570
2568
2566
2564
2562
2560
2558
2556
2554
2552
2550
2548
2546
2544

2-event total
7.2 ft

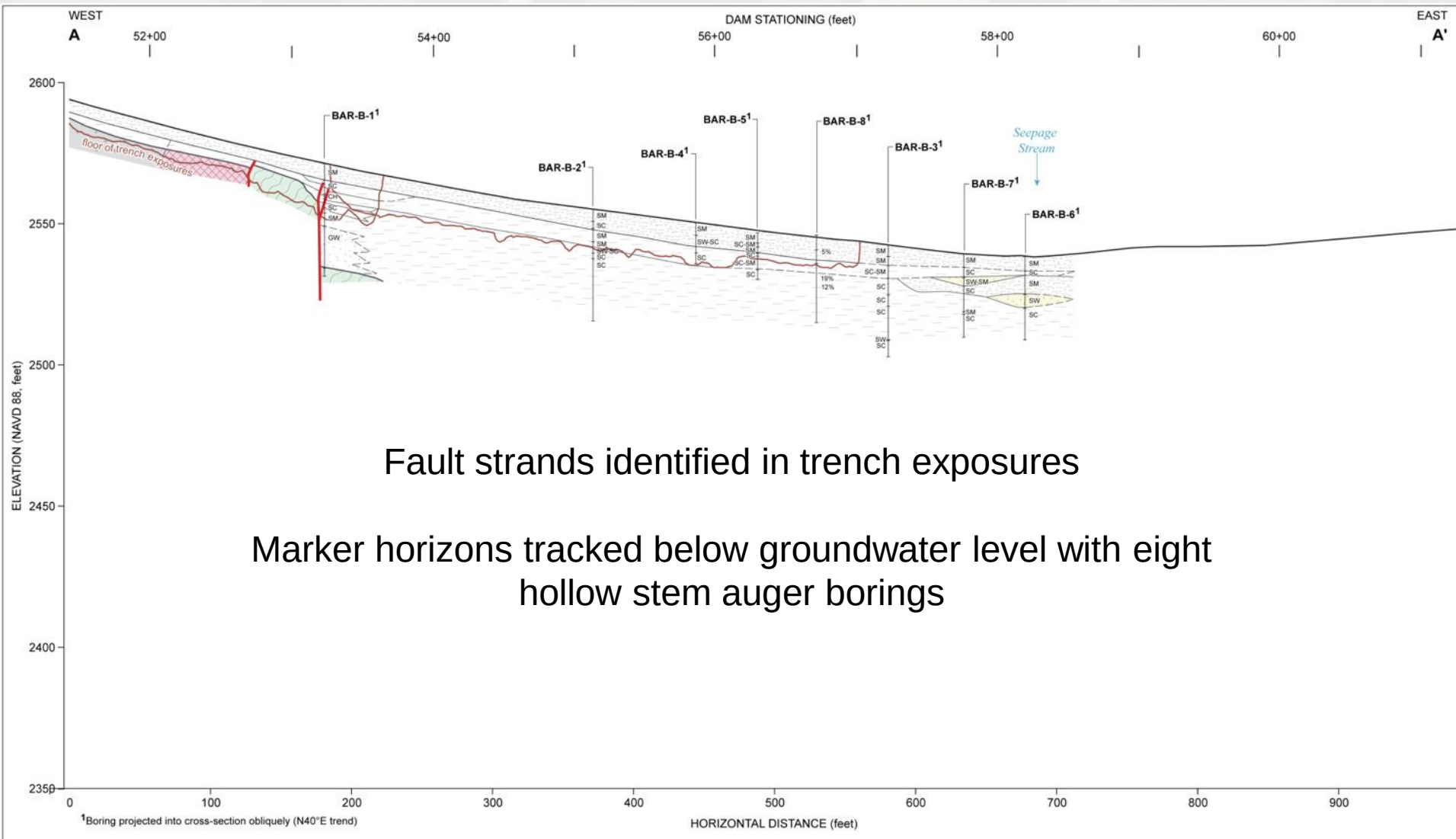


Paleoseismic Trench Documentation

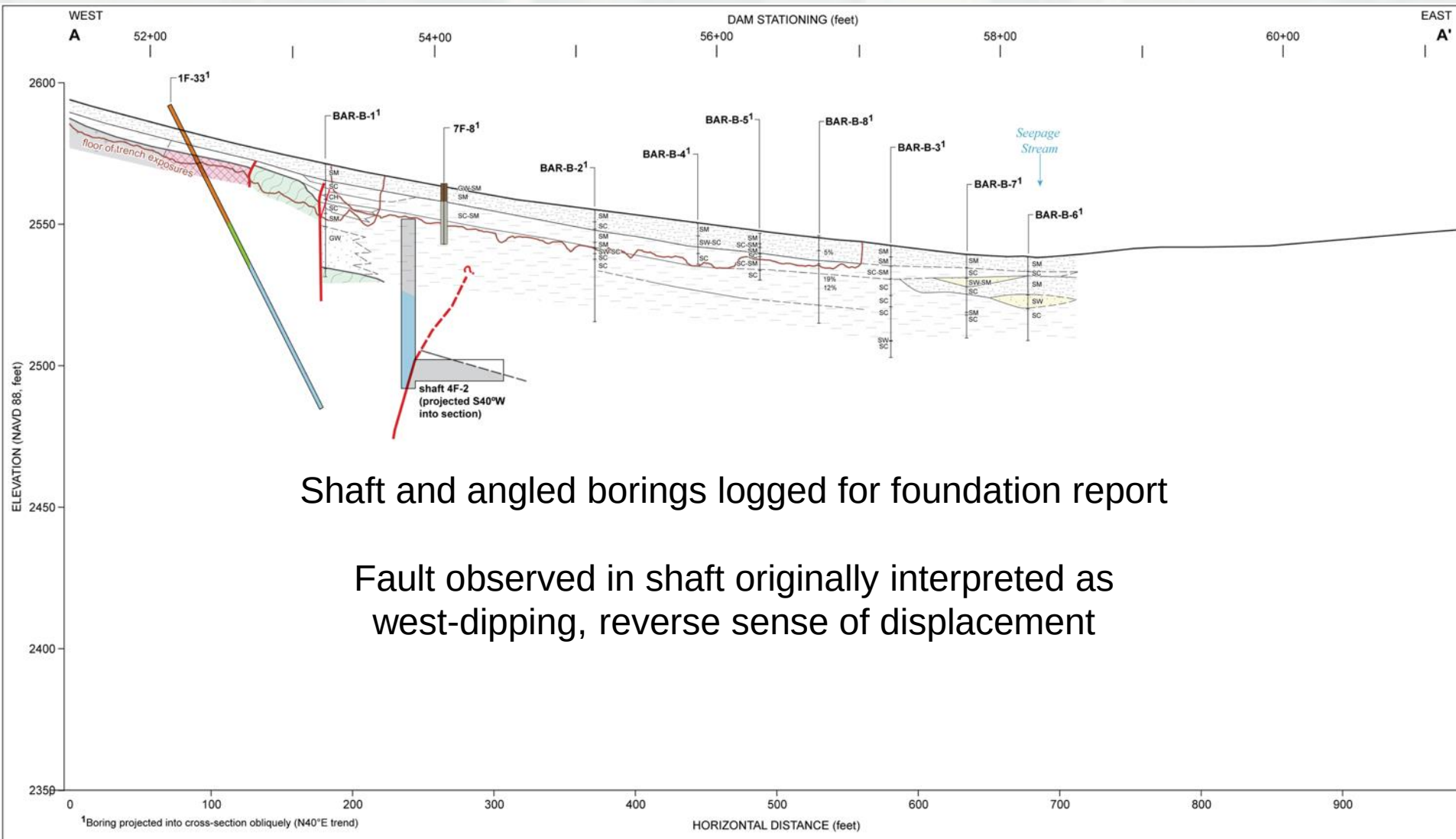
Trench T1



Cross Section: trenches and shallow borings



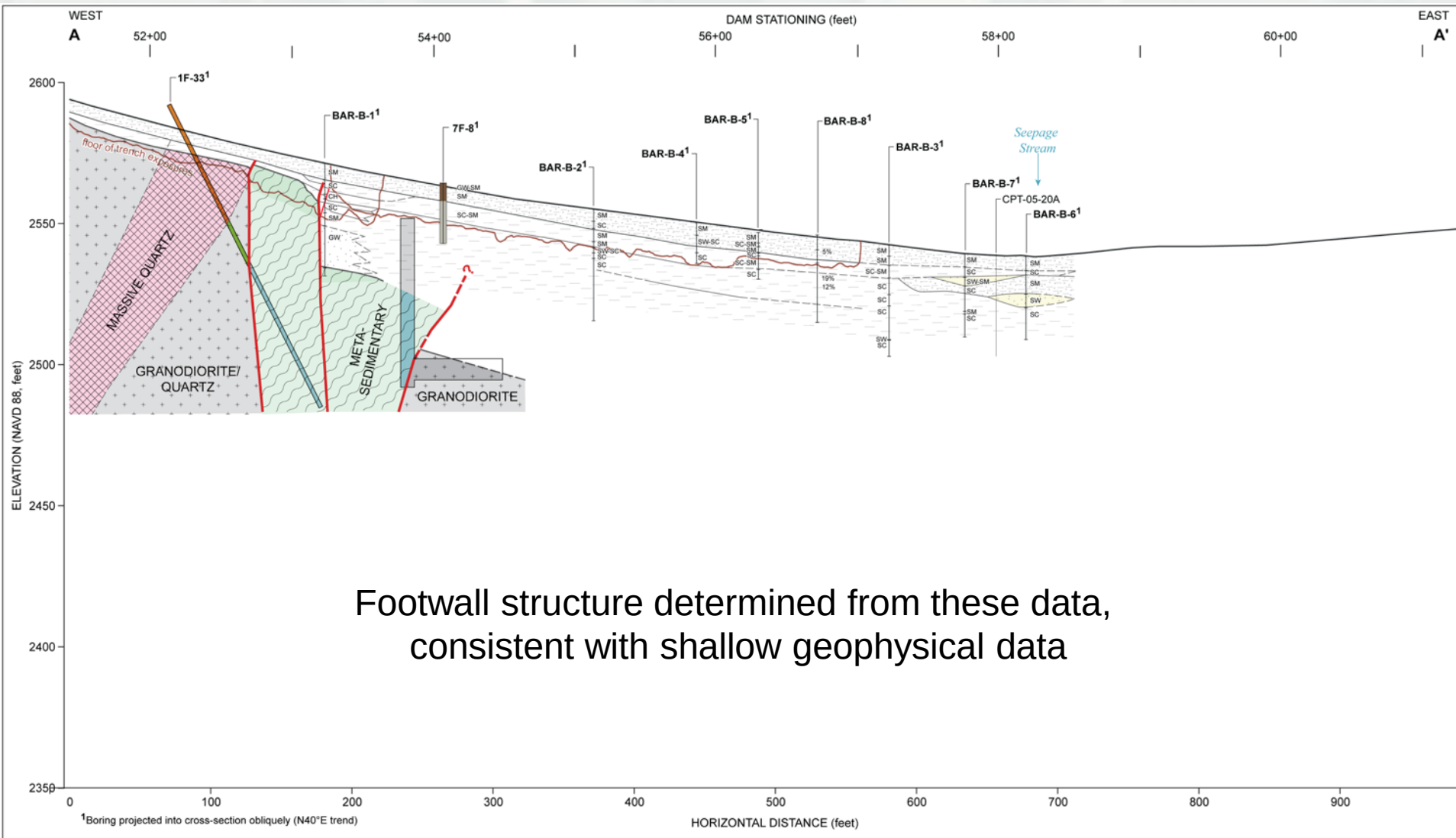
Cross Section: Trench data, USACE (1948)



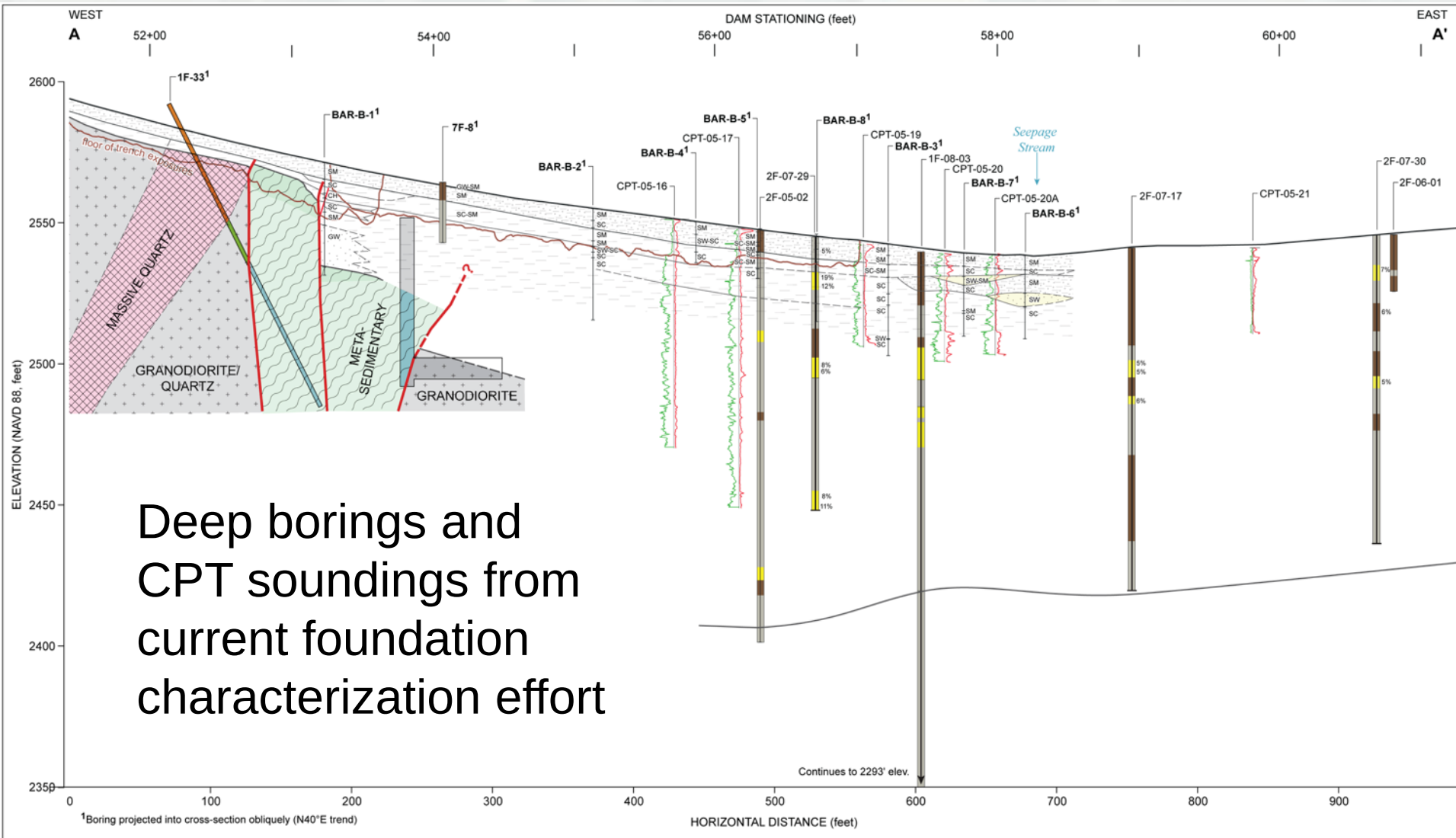
Shaft and angled borings logged for foundation report

Fault observed in shaft originally interpreted as west-dipping, reverse sense of displacement

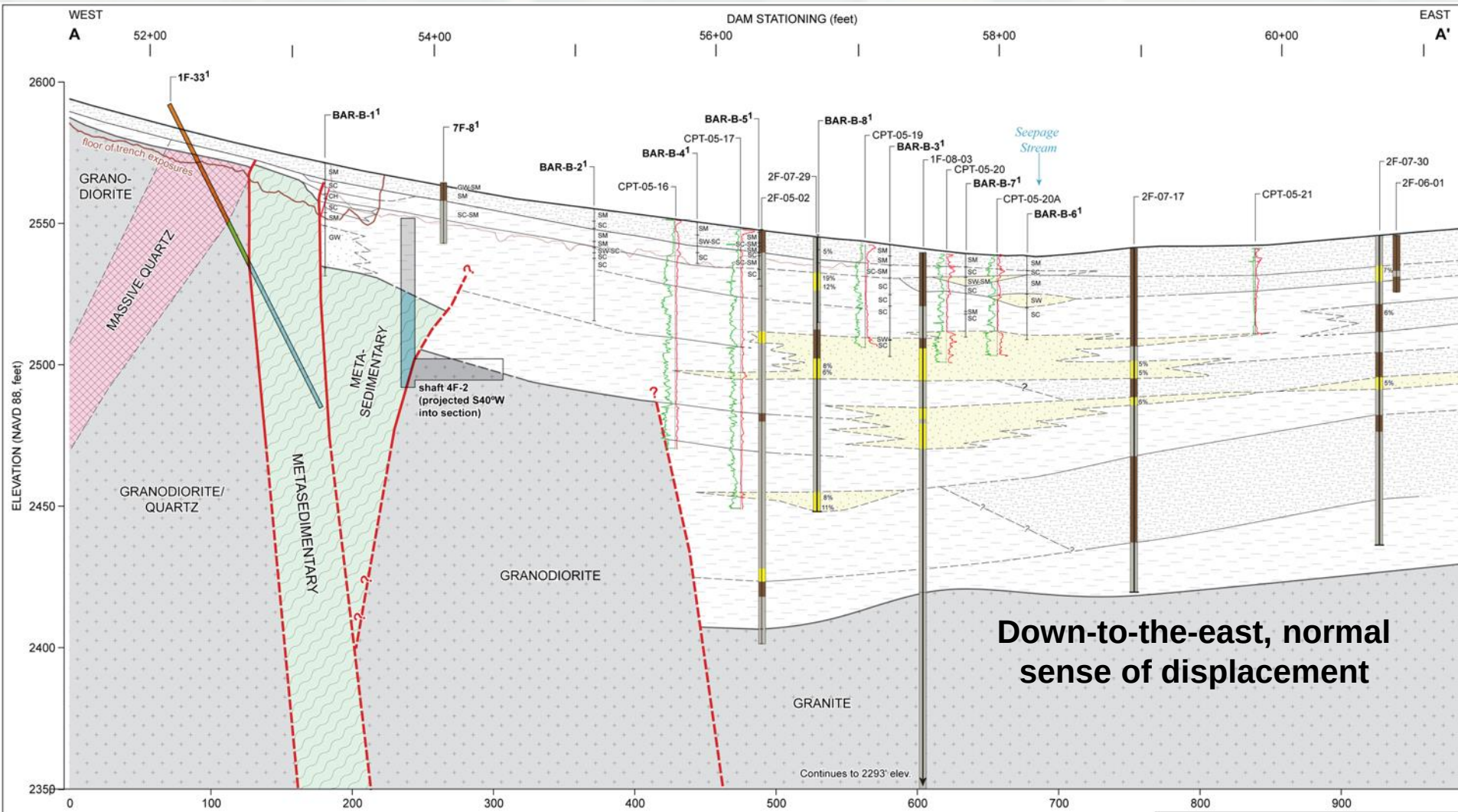
Cross Section: trench, USACE (1948)



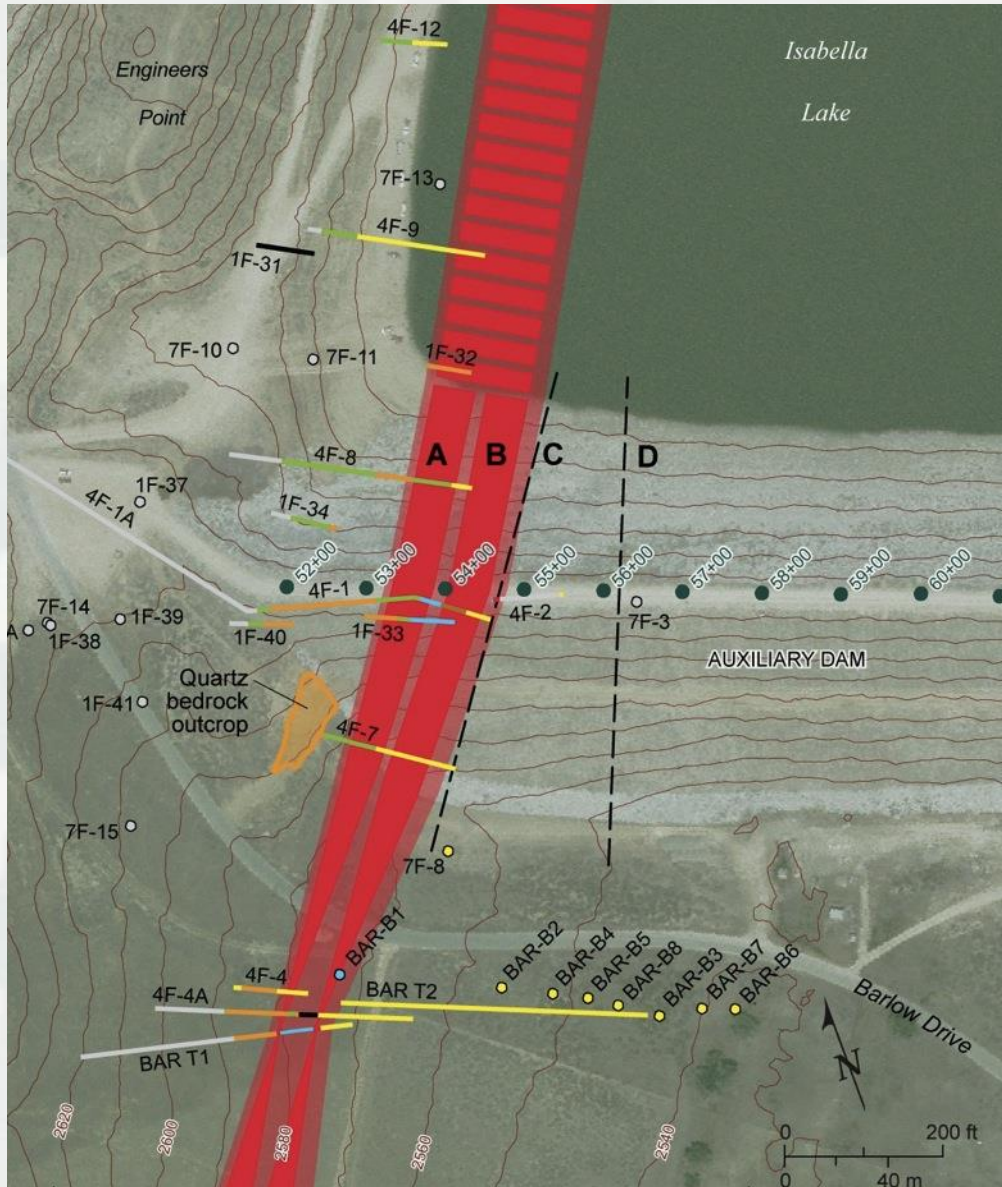
Trench data, USACE (1948), URS (2008)



Four fault strands, slightly asymmetric basin



Active Deformation on KCF



Tectonic displacement in 150-ft-wide zone

Two active fault strands, 50 ft apart; Secondary deformation between two primary strands

Overall displacement:
East-down normal

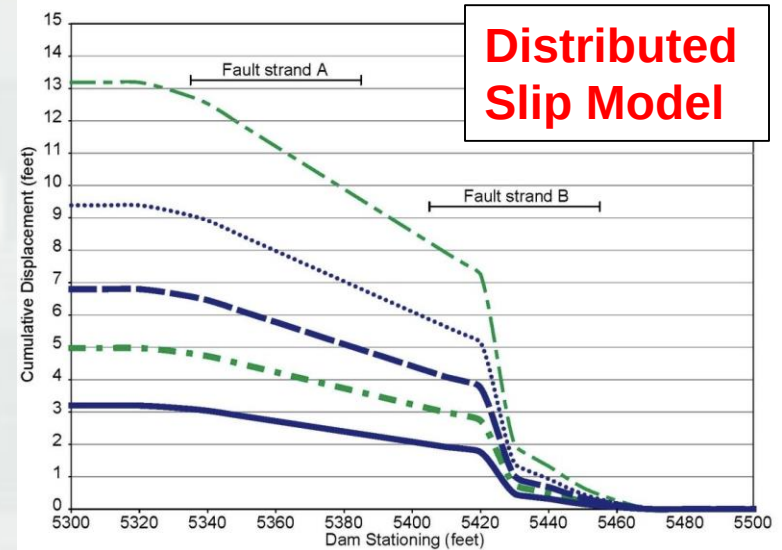
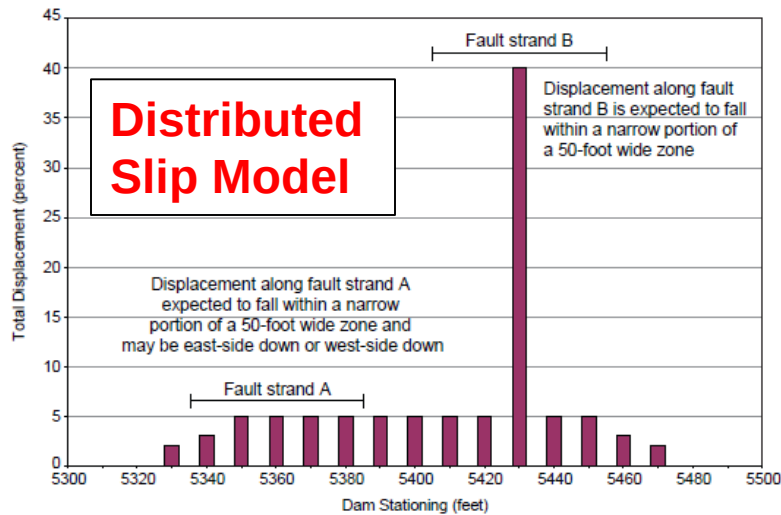
2 datable surface ruptures
at Auxiliary Dam in past 10,000 yr
M6.5-M7.1. Average 1.1m offset

Estimated recurrence on Lake Isabella section is 700 to 3600 yr,
Most Recent Event:
3,600 years ago

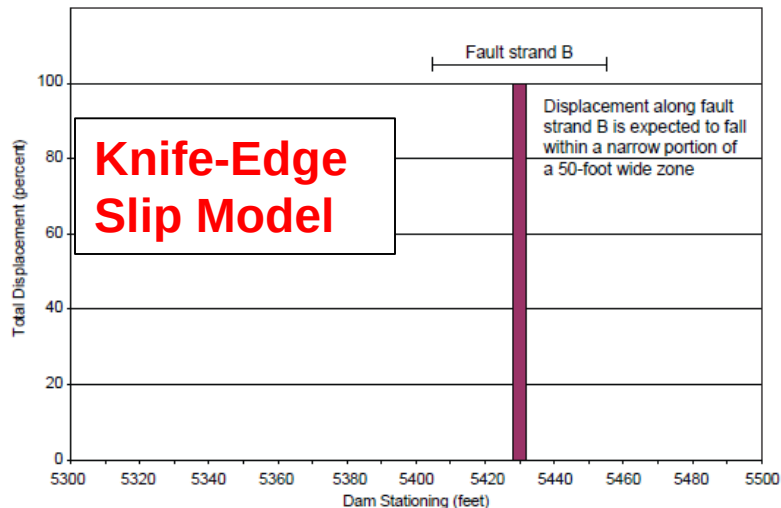


Distribution of Slip Across the Fault Zone

Scenario 1



Scenario 2

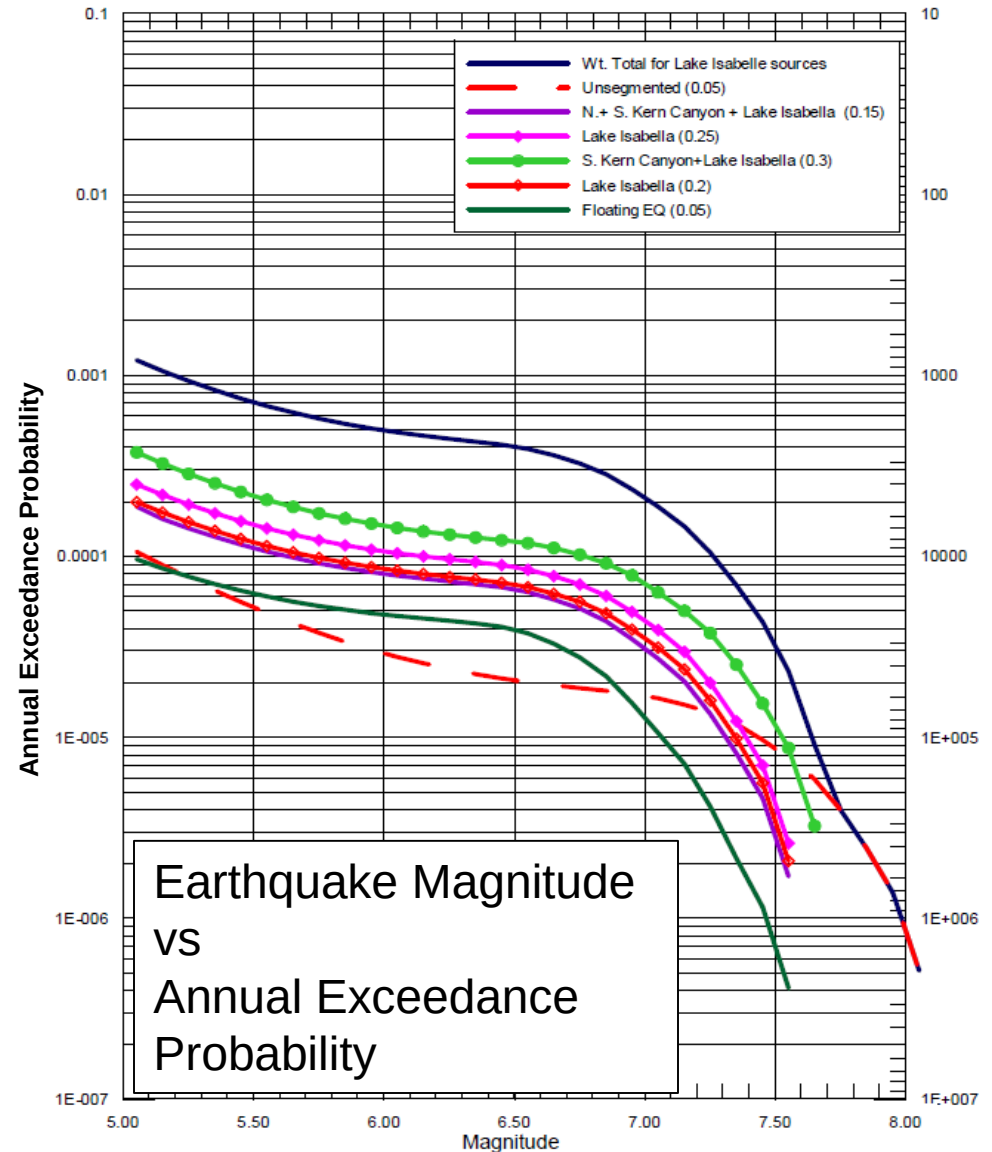
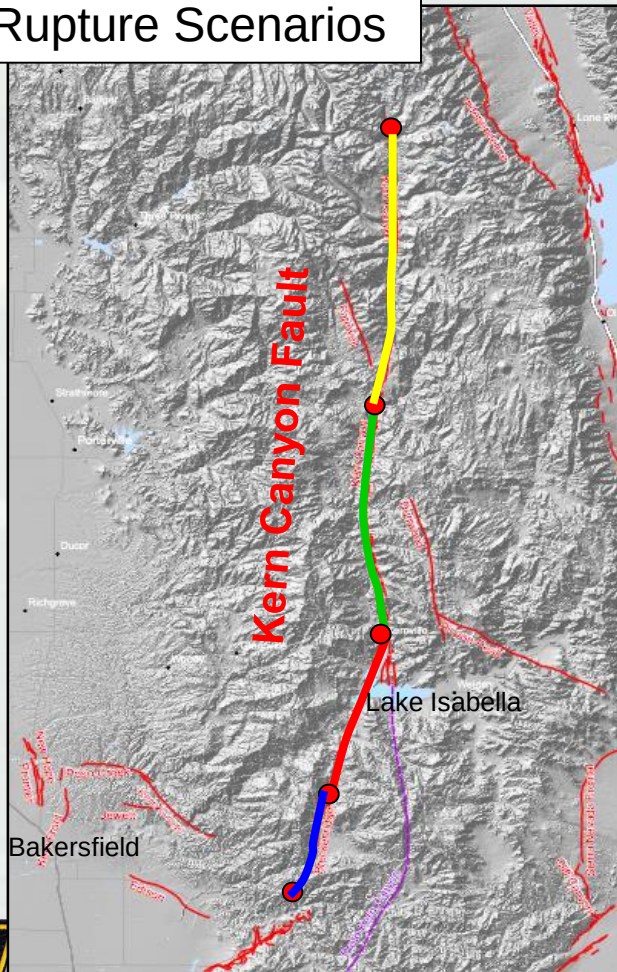


Must consider knife-edge model (more conservative)



Coseismic Rupture Estimation

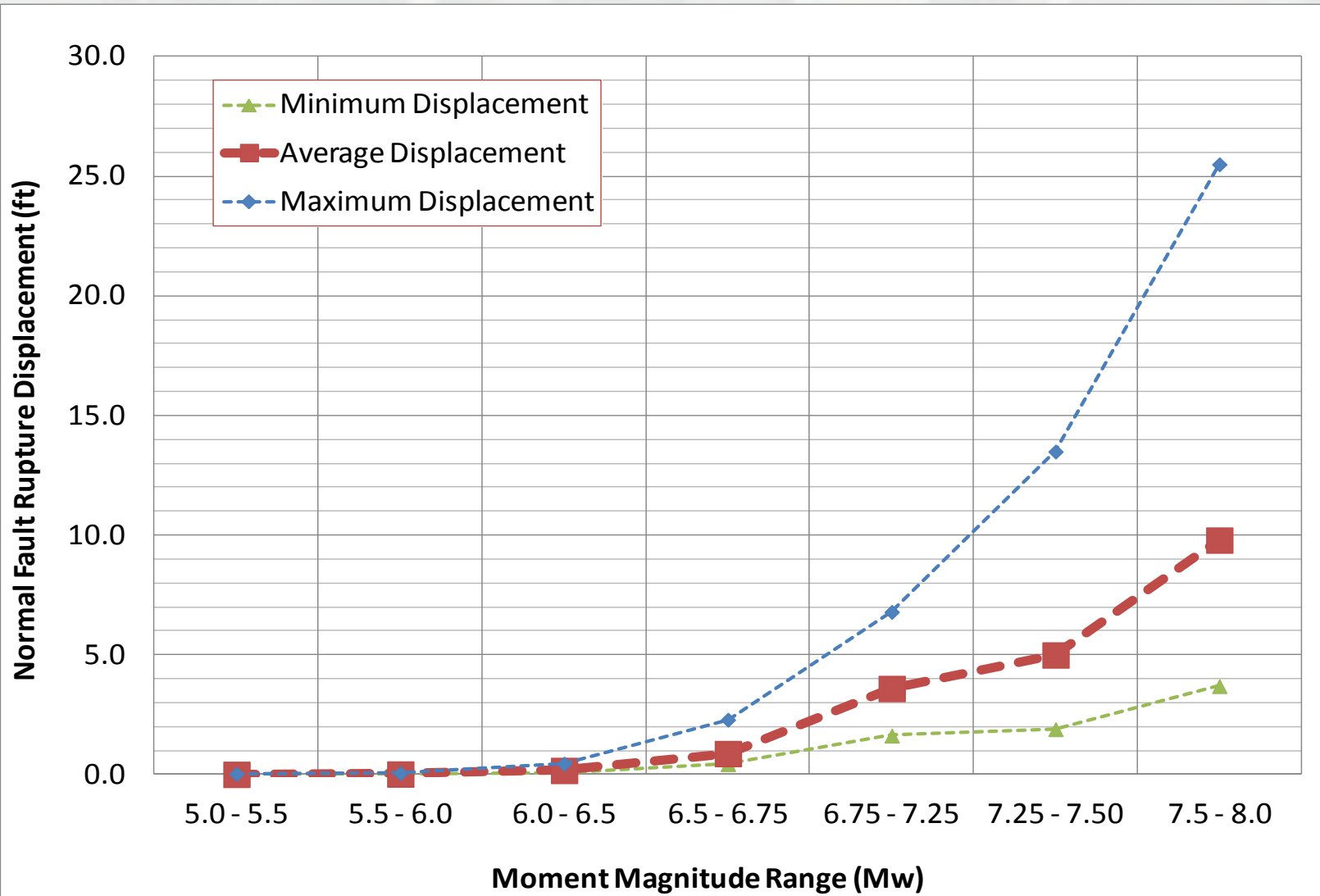
Fault Segmentation and Rupture Scenarios



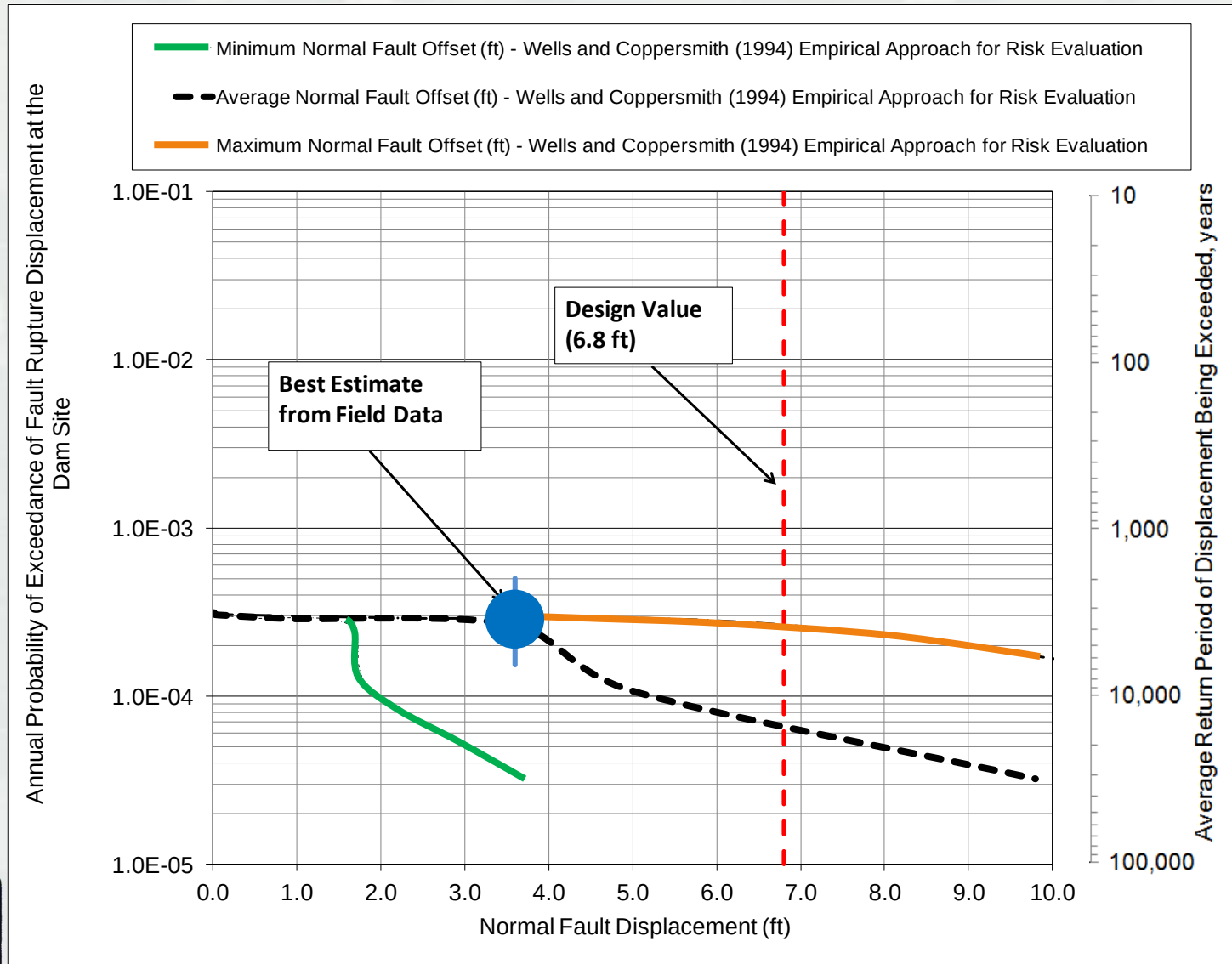
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Coseismic Rupture Estimation



Coseismic Rupture Estimation



Auxiliary Dam STA 65+00

Auxiliary Dam Section at Station 65+50 (95% Design)

version 7/31/2015 for 95% Design

revised: 4/21/2016

File: Aux Dam 65+50_2015-09-21_rev2016-04-21.dwg

Top of Dam elevation 2653.26'
without camber; elevation
2653.8 with camber.

Rip Rap Stone underlain
with Bedding Stone.

PMF Pool (2649.26)

Top of Labyrinth Weir (2637.26)

Gross Pool (2609.26)

Core Material
(Zone 1)
Excavation Line

Transition (Zone 3): 12' wide,
Drain Rock (Zone 2B): 5' wide,
Filter Sand (Zone 2A): 12' wide.

Place rock fill so that the average
rock size is at least 12" within
3 feet of the surface.

Berm to protect drain.
Construct berm using
8" minus rock
material (Zone 6).

Drain pipe between
Station 55+25 and
70+25

Transition (Zone 3): 1.5' thick,
Drain Rock (Zone 2B): 3' thick,
Filter Sand (Zone 2A): 1.5' thick.

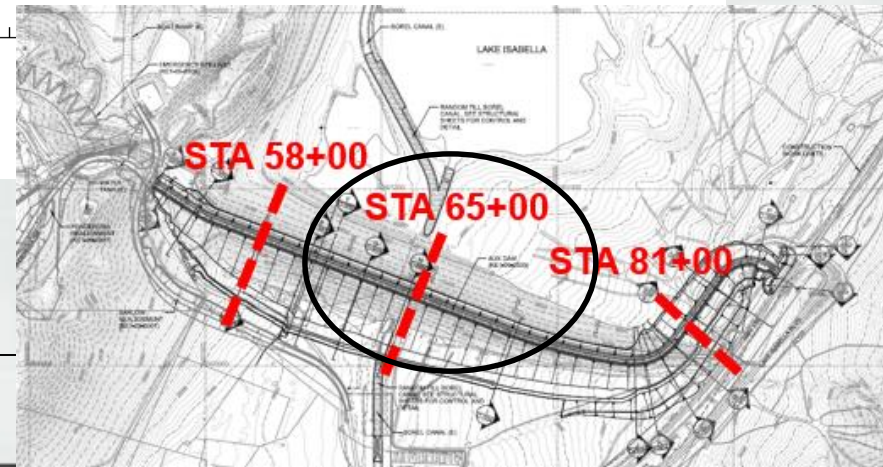
Excavation elevation 2536 feet
at Station 65+50.

Slope break at 10 feet above
original ground surface.
(1V:2H above; 1V:2.7H below)

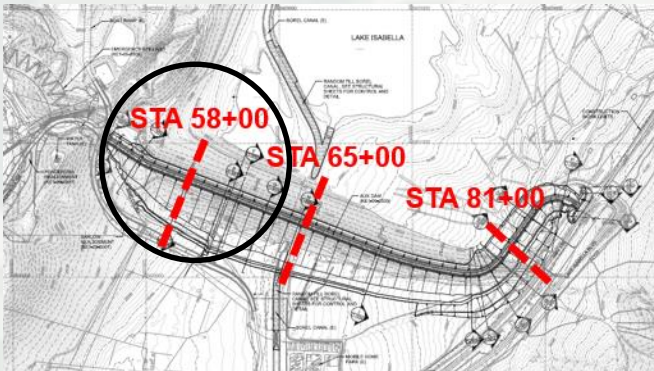
Legend

- Core (Zone 1)
- Filter Sand (Zone 2A)
- Drain Rock (Zone 2B)
- Transition (Zone 3)
- Rock Fill (Zone 4B)
- Road Base (Zone 5)
- Bedding Stone
- Rip Rap Stone

300 -200 -100 0 100
65+50



Auxiliary Dam STA 58+00



Rip Rap Stone underlain with Bedding Stone

Filter Sand Layer 14 ft thick (vertical dimension)

Drain Rock layer 14 ft thick (vertical dimension)

Rock Fill (Zone 4B). Place rock fill so that the average rock size is at least 12" within 3 feet of the surface.

Berm to protect drain. Construct berm using 8" minus Rock Material (Zone 6).

Legend

- Core (Zone 1)
- Filter Sand (Zone 2A)
- Drain Rock (Zone 2B)
- Transition (Zone 3)
- Rock Fill (Zone 4B)
- Road Base (Zone 5)
- Bedding Stone
- Rip Rap Stone

Core Material (Zone 1)

Excavation Line

Existing Drain Blanket

Slope break at 10 feet above original ground surface (1V:2H above; 1V:2.7H below).

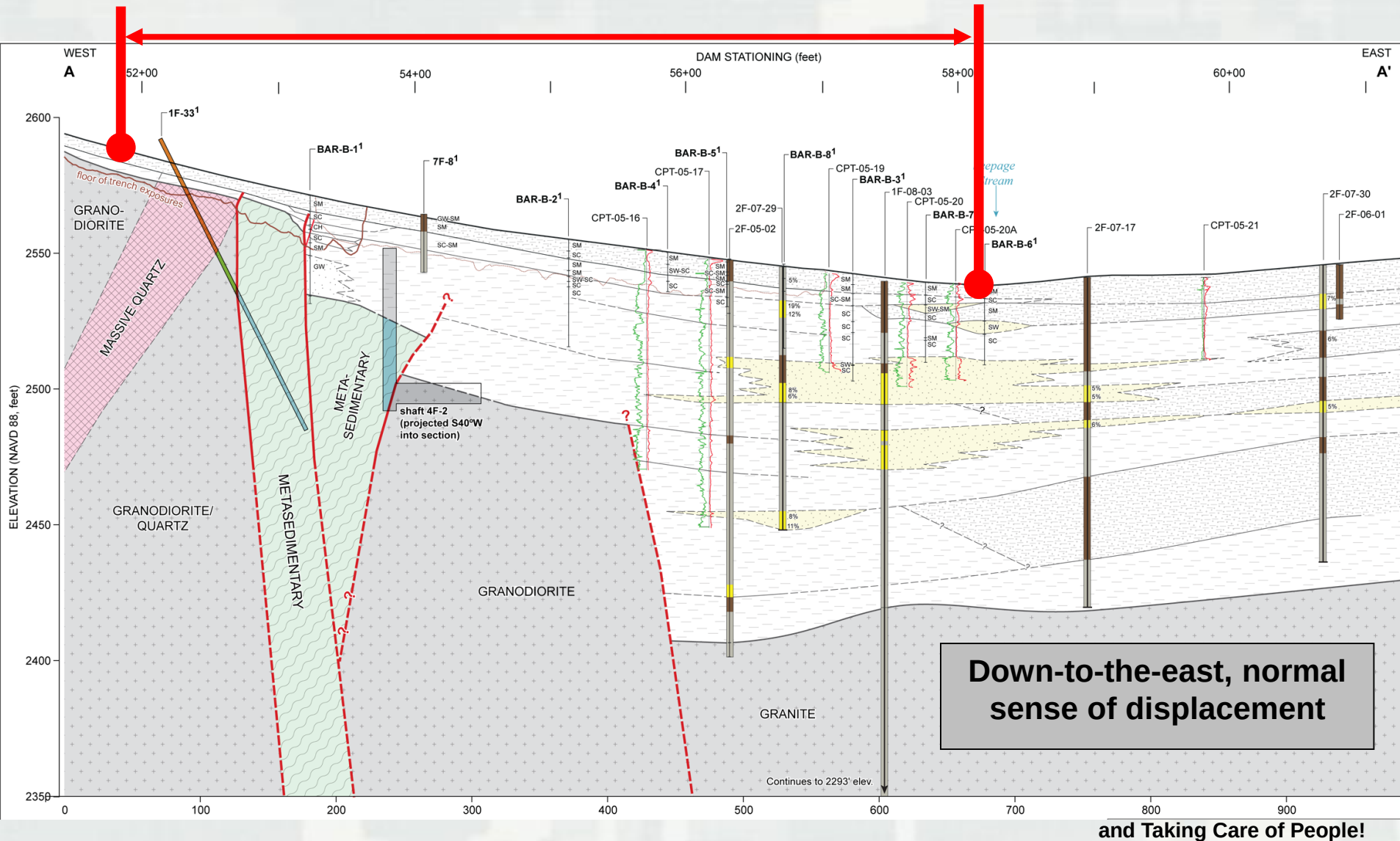
0 100'

Excavation elevation: 2522 feet at Station 58+00

Transition (Zone 3) thickness: 1.5',
Drain Rock (Zone 2B) thickness: 14',
Filter Sand (Zone 2A) thickness: 14'

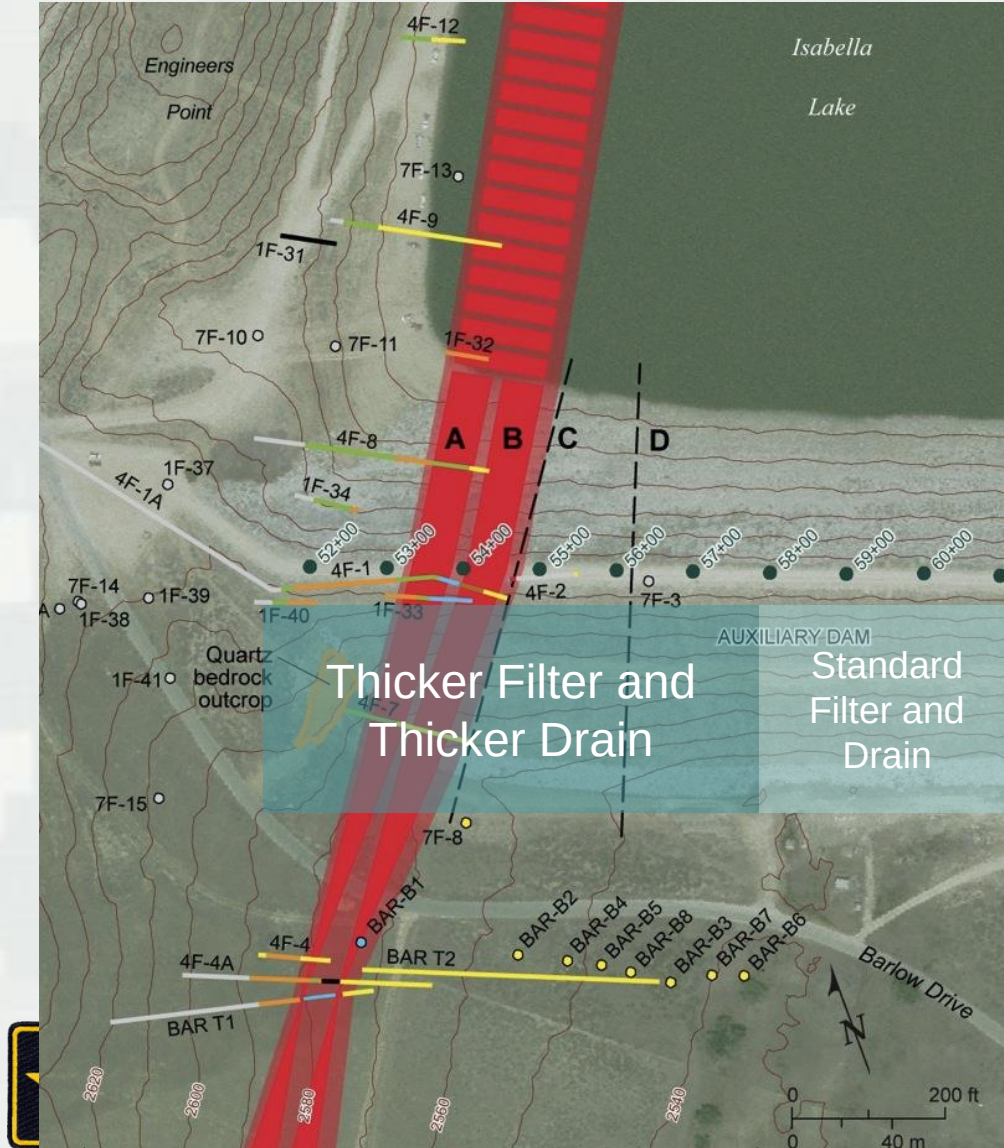
Auxiliary Dam Right Abutment

Filter and drain is thickest between Sta 52+00 and 58+00
to accommodate deformation from faulting



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Kern Canyon Fault Rupture Mitigation



- Design value for fault rupture (6.8 ft normal offset) based on maximum estimated displacement from site-specific fault displacement
- Minimum and maximum fault rupture displacements from global empirical database
- To maintain filter compatibility, filter and drain layers designed to have same thickness over the fault zone area (2x design fault displacement)
- $7 \times 2 = 14$



Questions

