# ENGINEERING IMPLEMENTATION OF THE RESULTS OF A FAULT DISPLACEMENT HAZARDS ANALYSIS

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Sponsored in part by the National Science Foundation & Geotechnical Extreme Events Reconnaisssance (GEER)



#### Structures Undamaged, Functional, or Life-Safe After Faulting



## **Hazards of Ground Movements**



## **Consequences of Ground Movements**



## **Tolerable Levels of Ground Movements**

A. Conventional Construction:  $\beta = 1/500$ ,  $\Delta_t = 25$  mm

- B. Post-Tensioned Slab Residential:  $\beta = 1/360$ ,  $\Delta_t = 40$  mm
- C. Liquefaction-Induced Settlement:  $\Delta_t = 100 \text{ mm}$

(with "structural mitigation" CGS SP-117A, Youd 1989)

D. Liquefaction-Induced Horz. Movement:  $\Delta_t = 300 \text{ mm}$ 

(with "structural mitigation" CGS SP-117A, Youd 1989)

## NOT $\Delta_t = 0 \text{ mm}$

### Surface Fault Rupture Damage to Homes in South Napa EQ



#### Cracked garage slab



Documented 27 homes affected by surface rupture Average observed deformation: 100 to 125 mm

#### **Key Observations:**

- No life safety issue resulted from surface faulting
- Unreinforced concrete slabs cracked
- Reinforced slabs slid uniformly or tilted
- Structures on pier foundations more heavily damaged
- Seismically retrofit homes/new construction performed best



#### Pushed off foundation



#### Rupture through piers





### Moorpark Development Project, California (Bray 2001)



#### **GROUND DEFORMATION DESIGN CRITERIA FOR BUILDING AREAS**

### Moorpark Development – Surface Fault Rupture Evaluation (Bray 2001)



#### **Primary Active Faults with > 100 mm of potential offset**



**Bending Moment Active Faults with < 40 mm of potential offset** 

#### **RESULTS OF NUMERICAL ANALYSIS** (Bray 2001)



### **CHARACTERIZING HAYWARD FAULT**

AMEC Geomatrix (Wells , Swan, et al.)



UCB Seismic Review Committee(Bray, Sitar, Comartin, Moehle, et al.)

Forell/Elsesser Engineers, Inc. (Friedman, Vignos, et al.)

#### **CHARACTERIZING HAYWARD FAULT Fault Rupture Design Guidance** AMEC Geomatrix (Wells, Swan, et al.) **Primary**: SS RR S R 0.9 – 1.9 m H CALIFORNIA 0.3 – 0.6 m V F-3NE -2NN F-1NW F-6SE **Secondary: F-5SS** F-4SW < 0.3 m H **SAHPC (cleared)**

**UCB Seismic Review Committee** 

Forell/Elsesser Engineers, Inc. (Friedman, Vignos, et al.)

## **Engineering Mitigation of Fault Displacement**



UCB Seismic Review Committee (Bray, Sitar, Comartin, Moehle, et al.)

AMEC Geomatrix Forell/Elsesser Engineers, Inc. (French et al.) (Friedman, Vignos, et al.)

### **Modeling of the Effects of Surface Faulting**

Scale Factor: +0.00

ODB: Job1\_1B.odb Abaqus/Standard Version 6.8-3 Fri Sep 11-12:03:20 Pacific Daylight Time 2009

x

Step: Sixft Increment 6: Step Time = 1.000

Deformed Var: U Deformation Scale Factor: +3.000e+00

Fault -

Forell/Elsesser Engineers, Inc. (Friedman, Vignos, et al.)

### **Surface Rupture Characteristics Depend On:**

- fault type
- fault geometry
- amount of fault displacement
- maturity of fault
- earth material over fault
- structure and its foundation





#### **1992 Landers Earthquake**



Lazarte, Bray & Johnson (1994)

### **Broad Area of Building Damage on Hanging Wall of Reverse Fault**



#### Not on footwall











### **TABITO MIDDLE SCHOOL**



M<sub>w</sub> 6.6 Hamadoori Aftershock of 4/11/11: Shionohira Normal Fault Displacement

Laser survey of the brim of the pool (Konagai, Bray, Streig, & others)

1.25 m vertical displacement between ends of pool



### CENTRIFUGE TEST OF FAULT RUPTURE WITH AND WITHOUT MAT FOUNDATION (Davies et al. 2007)



provided by Anastapolous & Gazetas

### WEIGHT OF MAT FOUNDATION EFFECTS (Davies et al. 2007)

Light Load: q = 37 kPa







#### provided by Anastapolous & Gazetas

### **MODELING OF FAULT RUPTURE**

Centrifuge Test: 60° Reverse Fault Uplift in Sand (Davies et al. 2007; Prototype Scale)



FLAC-2D / mod-UBCSAND Analysis: 60° Reverse Fault Uplift in Sand (Oettle & Bray 2013)

### **Importance of Failure Strain**

(Bray et al. 1994) 50 **FEM** Analyses 40 Physical Model Tests Δ 30 20 10 0 20 5 10 15 0 Axial Failure Strain (in percent) 45 40 Deviatoric Stress (kPa) 35 30 25 20 15 10 5 PS Compression Loading 0 10% 0% 5% 15% 20% Engineering Shear Strain, y (%)



(Lazarte & Bray 1996)

### **Fault-Structure Interaction Analyses**



(Oettle & Bray 2013)



Thicker mat foundation significantly reduces building damage

# **Mitigation with Thick Mat Foundation**

Thicker mat foundation "shields" structure from ground deformation

Mat Thickness = 0.45 m



Mat Thickness = 1.2 m

## Mat Foundation (Induces Rigid Body Building Movement)



Oettle and Bray (2013)

# Engineered Fill (Diffuse Underlying Fault Movement)



Oettle and Bray (2013)

# Fault Diversion (Shield / Protect Structure)



### **SURFACE FAULT RUPTURE ENGININEERING DESIGN**

### **ENGINEEERING GEOLOGIST**

- Identify and characterize faults
- Estimate amount of potential fault displacement

### **GEOTECHNICAL ENGINEER**

- Construct ductile reinforced soil fills to spread out movement
- Use slip layer to isolate ground movements from foundation
- Place compressible materials adjacent to walls and utilities

### **STRUCTURAL ENGINEER**

- Design strong, ductile foundations, with flexibility
- Avoid the use of piles
- Professionally responsible for life-safety of building occupants